

Announcement

of Frankfurter Wertpapierbörse (FWB)

Sixteenth Amendment Ordinance to the Fee Regulations for the Frankfurter Wertpapierbörse

On 7 November 2024, the Exchange Council of the Frankfurter Wertpapierbörse approved the Sixteenth Amendment Ordinance to the Fee Regulations for the Frankfurter Wertpapierbörse.

The Amendment Ordinance shall take effect on 25 November 2024.

The Amendment Ordinance is available on the website of the FWB (<https://www.deutsche-boerse-cash-market.com/>). In addition, a copy of the Ordinance is accessible to the public at the reception desk of the trading floor, Börsenplatz 4, 60313 Frankfurt/Main, Germany during the general opening hours.

**Sixteenth Amendment Ordinance
to the Fee Regulations for the Frankfurter Wertpapierbörse**

Article 1 Amendment to the Fee Regulations for the Frankfurter Wertpapierbörse in the version dated 30 October 2007, last amended by the Amendment Ordinance dated 14 July 2023

AMENDMENTS ARE MARKED AS FOLLOWS:

INSERTIONS ARE UNDERLINED

DELETIONS ARE CROSSED OUT

[...]

I. Section: Levying of Fees; General Provisions

[...]

§ 1a **Definitions, Language**

(1) The definitions pursuant to Annex I of the Exchange Rules shall apply. Unless otherwise specified, in the event of any conflict between provisions of the Exchange Rules, Conditions for Transactions and other rules and regulations of the FWB, the following hierarchy shall apply in the following order: (i) Exchange Rules, (ii) Conditions for Transactions, (iii) Admission Regulations for Exchange Traders, (iv) Fee Regulations and (v) other administrative acts and general orders (*Allgemeinverfügungen*) issued by the FWB.

(2) These Fee Regulations are available in a German and an English version. The English version constitutes a convenience translation. Legally binding is only the German version.

[...]

§ 5 **Recipient of Fees**

The ~~FWB~~Frankfurter Wertpapierbörse is the recipient of fees. The ~~FWB~~Frankfurter Wertpapierbörse shall immediately pay the fees to the operating institution.

[...]

II. Section: Fees for Admission to and Participation in Exchange Trading

§ 8 **Participant's Admission Fee**

Upon admission~~When first admitted~~, companies to be admitted shall pay a one-time participant admission fee pursuant to as given in Table I~~herein~~.

§ 9 **Participation Fee**

(1) Companies admitted to exchange trading shall pay an annual participation fee in the amount as specified in Table II. Admitted ~~C~~companies which have access to the floor or

which have been commissioned to perform the duties of a Specialist shall pay an increased fee according to Table II.

[...]

(3) The fees as defined in Paragraph (1) and ~~Paragraph (2)~~ shall be paid in quarterly instalments, at the middle of each quarter. The requirement to pay a current fee shall begin in the calendar quarter in which the conditions for paying the pertinent fee have first been met and shall lapse at the expiration of the calendar quarter in which the conditions for paying said fee are no longer met.

(4) Irrespective of the fees pursuant to Paragraph (1) and (2), a fee in the amount of EUR 500 shall be levied for each application on cancellation of a transaction ("~~M~~mistrade ~~A~~Application") that has been accomplished within the Continuous Auction. If several ~~M~~mistrade ~~A~~Applications for cancellation of transactions are submitted – such transactions having been accomplished as a result of partial executions of the same order or the same binding quote - the fee of EUR 500 shall only be levied once. If, in case of transactions in structured products, several ~~M~~mistrade ~~A~~Applications are submitted at the same time in writing, via telecopy or electronically or if, upon prior application via telephone, the required information for several ~~M~~mistrade ~~A~~Applications are given afterwards in writing, via telecopy or electronically,

[...]

(5) In deviation to Paragraph 4, a fee in the amount of EUR 100 shall be levied against each trading participant involved in the transaction for each ~~application on cancellation of a transaction~~ (~~M~~mistrade ~~A~~Application) which was concluded by means of the TES order functionality for off-book trading.

[...]

III. Section: Fees for the Admission of Securities to Trading (~~Admission Fee~~), for the Inclusion of securities in Trading (~~Inclusion Fee~~) and for the Revocation of Admission and Inclusion (~~Revocation Fee~~)

§ 11 Admission of securities to the Regulated Market ("~~Admission Fee~~")

(1) Fees pursuant to Table IV shall be levied for admission of shares to the Regulated Market of Frankfurter Wertpapierbörse. If shares of the issuer have already been admitted to the FWB at Frankfurter Wertpapierbörse and if further shares of the same class are to be admitted, a fee pursuant to Table V shall be levied.

[...]

- (4) A fee of EUR 600 shall be levied for the admission of debt securities of an issuer which is constantly or repeatedly issuing debt securities admitted ~~to~~ on the ~~FWB~~ Frankfurter Wertpapierbörse. In order for a fee to be levied pursuant to Clause 1, it is a requirement that at least one debt security of the issuer has been admitted within the past twelve months prior to the admission application. If debt securities have already been admitted pursuant to Clause 1, each further admission of these debt securities shall attract a fee in the amount of EUR 300.

[...]

§ 12 Inclusion of Securities in Trading on the Regulated Market (“Inclusion Fee”)

[...]

§ 13 Revocation of Admission and of Inclusion (“Revocation Fee”)

[...]

- (3) In divergence from Paragraph 1 and ~~Paragraph 2~~, a fee in the amount of EUR 600 shall be levied upon the revocation of admission of debt securities if an admission of a debt security of the issuer has been revoked within the past twelve months prior to the revocation application or prior to the revocation of admission ex officio.

[...]

IV. Section: Fees for the Introduction of Securities on the Stock Exchange (“Introduction Fee”)

§ 14 Introduction Fee

- (1) A fee as in Table IX shall be levied for the introduction of trading (~~the~~ **“introduction”**) of securities, for whose admission fees are levied according to § 11 Paragraph (1) Clause (1). A fee as in Table IX shall be levied for the ~~the~~ introduction of securities, for whose admission fees are levied according to § 11 Paragraph (1) Clause 2. A fee as in Table IX shall be levied for the ~~the~~ introduction of shares and certificates representing shares which are issued due to a capital increase from company funds and which are legally admitted.

[...]

V. Section: Fees for the Trading of Securities of no specific Maturity (“Listing Fee”)

[...]

VI. Section: Enrolment Fees for the Exchange Trader Examination (“Exchange Trader Examination Fee”)

[...]

Article 2 Effectiveness

The provisions specified in Article 1 shall become effective on 25 November 2024.

The foregoing Sixteenth Amendment Ordinance to the Fee Regulations for the Frankfurter Wertpapierbörse is hereby executed. Pursuant to the decision of the Exchange Council of the Frankfurter Wertpapierbörse dated 7 November 2024, the Amendment Ordinance shall become effective on 25 November 2024.

The Hessian Ministry of Economics, Energy, Transport, Housing and Rural Areas has given its approval required pursuant to §16 Paragraph 3 of the German Stock Exchange Act (*Börsengesetz*) by letter dated 8 November 2024 (File No.: III-037-d-02-05-00004#008).

The Sixteenth Amendment Ordinance to the Fee Regulations for the Frankfurter Wertpapierbörse shall be announced by notice in the reception area of the trading floor, Börsenplatz 4, 60313 Frankfurt/Main, Germany and by electronic publication on the internet, available on the website of the Frankfurter Wertpapierbörse (<https://www.xetra.com>).

Frankfurt/Main, 20.11.2024

Management Board of the Frankfurter Wertpapierbörse

Dr. Cord Gebhardt

Michael Krogmann